

## Transition Crossing in the RHIC

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**R H I C   P R O J E C T**

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# Transition Crossing in the RHIC

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## Abstract

This report summarizes the study of various longitudinal problems pertaining to the transition-energy crossing in the proposed Relativistic Heavy Ion Collider. Scaling laws are provided for the effects of chromatic non-linearity, self-field mismatch, and microwave instability. It is indicated that the beam loss and bunch-area growth are mainly caused by the chromatic non-linear effect, which is enhanced by the space-charge force near transition. Computer simulation using the program TIBETAN shows that a “ $\gamma_T$ -jump” of about 0.8 unit within a time period of 60 ms is adequate to achieve a “clean” crossing, provided that the remnant voltage of the 160 MHz rf system is less than 10 kV.

## 1. Introduction

The transition-energy crossing of charged particles is characterized by a time scale  $T_c$  during which the particle motion is non-adiabatic,<sup>1-4</sup>

$$T_c = \left( \frac{\pi A E_0 \beta_s^2 \gamma_T^3}{q e \hat{V} |\cos \phi_s| \dot{\gamma}_s h \omega_s^2} \right)^{\frac{1}{3}}, \quad (1)$$

where the subscript  $s$  represents the synchronous value, and,

$qe$  = electric charge carried by the particle

$\hat{V}$  = peak voltage of the rf accelerating system

$h$  = harmonic number of the rf accelerating system

$\eta_0 = 1/\gamma_T^2 - 1/\gamma_s^2$

$\gamma_T$  = transition energy

$\phi_s$  = synchronous phase

$\omega_s$  = synchronous revolution frequency

$\beta_s c$  = synchronous velocity

$A$  = atomic mass number of the particle

$AE_0 = Am_0 c^2 \gamma_s$ , synchronous energy of the particle.

Problems related to transition crossing can mainly be divided into two categories: single- and multi-particle. In the former category, we study the effect of chromatic non-linearities which impel particles of different momenta to cross transition at different times; while in the latter, we study the bunch-shape mismatch and microwave instability induced by low- and high-frequency self fields, respectively. Theoretical estimates are presented in the first part of section 2; results of computer simulation are addressed in the second part. Compensation methods and requirements are discussed in section 3. With the given  $\gamma_T$ -jump, a tolerance is provided in section 4 to the remnant voltage of the 160 MHz rf system.

## 2. Problems at Transition Energy

In this section, scaling laws are obtained for the effects of chromatic non-linearity, self-field mismatch, and microwave instability at transition. In the absence of a  $\gamma_T$ -jump, the

growth in bunch area due to these effects is estimated.

## 2.1. Theoretical Estimates

### A. Chromatic non-linear effect

Particles of different momenta traverse closed orbits of different lengths  $L$ . The difference may be expressed in terms of the momentum deviation ( $\delta \equiv \Delta p/p$ ) as

$$\frac{L}{L_s} = 1 + \frac{\delta}{\gamma_T^2} \left[ 1 + \alpha_1 \delta + O(\delta^2) \right]. \quad (2)$$

The so-called “frequency-slip factor”  $\eta$  can thus be written as

$$\eta = \eta_0 + \eta_1 \delta + \dots,$$

where

$$\eta_0 = \frac{1}{\gamma_T^2} - \frac{1}{\gamma_s^2}, \quad \text{and} \quad \eta_1 \approx \frac{2}{\gamma_s^2} \left( \alpha_1 + \frac{3\beta_s^2}{2} \right).$$

The two terms in  $\eta_1$  correspond respectively to the differences in circumference and velocity for particles of different momenta at the first non-linear order. The effect of  $\eta_1$  on the particle motion is important only near the transition energy when  $\eta_0$  approaches zero. Define<sup>4-6</sup> the “non-linear time”  $T_{nl}$  during which  $|\eta_1 \hat{\delta}(\gamma_T)|$  is larger than  $|\eta_0|$ ,

$$T_{nl} = \frac{\left| (\alpha_1 + \frac{3}{2}\beta_s^2) \right| \hat{\delta}(\gamma_T) \gamma_T}{\dot{\gamma}_s}, \quad (3)$$

where

$$\hat{\delta}(\gamma_T) = \frac{2^{1/2} \omega_s \left( h S q e \hat{V} |\cos \phi_s| T_c \right)^{1/2}}{3^{2/3} \pi^{1/2} \Gamma(2/3) A E_0 \beta_s^2}$$

is the maximum momentum spread at transition,  $\Gamma(2/3) \approx 1.354$ , and  $S$  is the bunch area before transition. The effective increase in the bunch area during the crossing depends on the ratio of  $T_{nl}$  to  $T_c$  (eqs. 4.25 and 4.27 in ref.4),

$$\frac{\Delta S}{S} \approx \begin{cases} 0.76 \frac{T_{nl}}{T_c}, & \text{for } T_{nl} \ll T_c; \\ e^{\frac{4}{3} \left( \frac{T_{nl}}{T_c} \right)^{3/2}} - 1, & \text{for } T_{nl} \geq T_c. \end{cases} \quad (4)$$

Beam loss occurs if the effective bunch area  $S + \Delta S$  after transition is larger than the bucket area.

It is assumed<sup>7</sup> for the RHIC that  $\gamma_T = 24.7$ ,  $\omega_s = 4.91 \times 10^5 \text{ s}^{-1}$ ,  $h = 342$ ,  $\hat{V} = 300 \text{ kV}$ ,  $\phi_s = 0.161 \text{ rad.}$ , and  $\alpha_1 = 0.6$ . With these parameters, it can be evaluated that  $\dot{\gamma}_s = 1.6 \text{ s}^{-1}$ ,  $T_c = 41 \text{ ms}$ ,  $\hat{\delta}(\gamma_T) = 4.3 \times 10^{-3}$ , and  $T_{nl} = 139 \text{ ms}$ . According to eq. 4, the phase-space area enclosed by the trajectory of the particles near the edge of the bunch after transition is much larger than the bucket area. Therefore, beam loss is expected to occur in the absence of a  $\gamma_T$ -jump. Quantitatively, the amount of beam loss depends on the particle distribution in the phase space.

## B. Bunch-shape mismatch

Both reactive and resistive impedances cause mismatch<sup>8-9</sup> in the nominal bunch shape at the time the synchronous phase is jumped at transition.

A reactive impedance changes the focusing force of the rf system differently below and above transition. The amount of mismatch is then proportional to the ratio of the self field to the rf field provided by the accelerating cavities. For a parabolic distribution, the effective increase in the bunch area due to the mismatch, induced by a coupling impedance  $|Z_L/n|$  at low frequency range, is (eq. 5.18 in ref.4)

$$\frac{\Delta S}{S} = \frac{2h\hat{I}(\gamma_T)|Z_L/n|}{\hat{V}|\cos \phi_s| \hat{\phi}^2(\gamma_T)}, \quad (5)$$

where

$$\hat{I} = \frac{3hN_0 q e \omega_s}{4\hat{\phi}} \quad (6)$$

is the peak current, and

$$\hat{\phi}(\gamma_T) = 3^{1/6} \Gamma(2/3) \left( \frac{2hS}{\pi q e \hat{V} |\cos \phi_s| T_c} \right)^{1/2}$$

is the maximum phase spread of the bunch at transition.

The effective impedance of the space charge below the cutoff frequency is

$$\frac{Z}{n} = \frac{ig_0 Z_0}{2\beta_s \gamma_s^2},$$

where  $g_0$  is a geometric factor, and  $Z_0 = (\epsilon_0 c)^{-1} = 377 \Omega$ . Taking  $g_0 = 4.5$ , this corresponds to a capacitive impedance of about  $1.2 \Omega$  at transition. With an intensity of  $N_0 = 1 \times 10^9$   $^{197}\text{Au}^{79+}$  ions per bunch, the increase of bunch area due to the corresponding bunch-shape mismatch is about 60% in the absence of a  $\gamma_T$ -jump.

In addition to the mismatch, a resistive impedance causes energy dissipation which partly cancels the energy gain from the rf acceleration. Because this cancellation induces a shift in the synchronous phase ( $\phi_s$ ), the amount of synchronous phase ( $\pi - 2\phi_s$ ) to be jumped at transition is changed accordingly. If the resistive impedance at low frequency is  $\mathcal{R}$ , the shift in synchronous phase can also be shown as (eq. 5.22 in ref.4)

$$\Delta\phi_s = \frac{\hat{I}\mathcal{R}}{\hat{V}|\cos\phi_s|} \quad (7)$$

for  $\Delta\phi_s$  to be much smaller than 1. Compared with the mismatch due to the space charge, the effect of the resistive impedance is estimated to be small for the transition crossing in the RHIC.

### C. Microwave instability

Near the transition energy, the revolution-frequency spread which provides Landau damping vanishes along with the vanishing phase stability and the decreasing synchrotron-oscillation frequency. Both the reactive and the resistive components of the coupling impedance are likely to induce an instability. However, since particles cross transition with a non-zero acceleration rate, the synchrotron-oscillation frequency defined by the time derivative of the angle variable (canonically conjugate with the action variable) of the system Hamiltonian, is also non-zero at transition.<sup>10</sup> Consequently, the threshold for microwave instability to occur at transition is (eq. 5.58 in ref.4) for the parabolic distribution

$$D_0 \equiv \frac{8h\hat{I}(\gamma_T)|Z_H/n|}{3\hat{V}|\cos\phi_s|\hat{\phi}^2(\gamma_T)} \geq 1, \quad (8)$$

where  $|Z_H/n|$  refers to the coupling impedance at microwave frequency range. Again, the coefficient in eq. 8 may differ for different particle distribution.



In the case that the beam current is below the threshold (Eq. 8), the bunch crosses the transition without experiencing microwave instability. On the other hand, in the case that this threshold is exceeded, the time period  $T_{mw}$  during which the instability occurs can be estimated,<sup>10</sup>

$$T_{mw} \approx \frac{2\pi}{3^{5/6}\Gamma^2(2/3)}(D_0 - 1)T_c \approx 1.37 (D_0 - 1) T_c, \quad \text{for } D_0 - 1 \ll 1. \quad (9)$$

The amount of growth of the density amplitude, which is defined as the ratio of the amplitude increment of the density disturbance at the end, to the amplitude at the beginning of the time interval  $T_{mw}$ , is found to be

$$\frac{\sqrt{3}n}{4h} \hat{\phi}(\gamma_T)(D_0 - 1)^2. \quad (10)$$

With a beam intensity of  $1 \times 10^9$  ions per bunch and a capacitive impedance of  $1.2\Omega$  due to the space charge, it can be calculated that  $D_0 \approx 0.9$ . Therefore, in the absence of a  $\gamma_T$ -jump the beam is close to the microwave-instability threshold.

The theoretical estimates indicate that the primary concern at transition is due to the chromatic non-linear effect. The development of the “non-linear tails” after the synchronous-phase jump is further enhanced by the space-charge force. Computer simulation is needed to understand more precisely the various mechanisms and to quantitatively determine the crossing efficiency.

## 2.2. Results of computer simulation

The computer program TIBETAN has been<sup>4</sup> used to study the transition-crossing process in the RHIC. The program simulates the longitudinal motion of a particle beam by tracking a collection of macro-particles in phase space. It constructs the self fields directly in the time (phase) domain. The bin length used for the construction of the self fields is chosen in accordance with the cutoff frequency of the vacuum pipe. Beam-induced fields are calculated every turn with 3600 macro-particles. Before transition, the bunch of  $^{197}\text{Au}^{79+}$  ions is assumed to have a truncated Gaussian-like distribution in longitudinal phase space

with an area of 0.3 eV·s/u. With  $\alpha_1 = 0.6$  and  $10^9$  ions per bunch, the chromatic non-linear effect, enhanced by the self-field mismatch, results in a beam loss of about 70% in the absence of a  $\gamma_T$ -jump.

### 3. Crossing Transition with a $\gamma_T$ -Jump

An effective way to cure both the beam-induced and the chromatic non-linear effect is to increase the transition-crossing rate of the beam. This can be accomplished either by temporarily adjusting the lattice to achieve a  $\gamma_T$ -jump, or by manipulating the synchronous phase to achieve a larger acceleration rate. Previous studies,<sup>4,11</sup> however, indicate that the latter results in a mismatch at transition which is not negligible.

The method of  $\gamma_T$ -jump, which has been successfully used in many accelerators, provides a large crossing-rate enhancement without causing severe mismatch at transition. In the case that the non-linear effect is dominant, the amount  $\Delta\gamma_T$  of jump needed to eliminate the un-desired beam loss and bunch-area growth is<sup>10,12</sup>

$$\Delta\gamma_T \approx 4\dot{\gamma}_s T_{nl}, \quad (11)$$

with both  $\dot{\gamma}_s$  and  $T_{nl}$  taking the original values; in the case that the self-field effect is dominant, the amount of jump should satisfy

$$\frac{\Delta S}{S} = \frac{2h\hat{I}(\gamma)|Z_{L,H}/n|}{\hat{V}|\cos\phi_s|\hat{\phi}^2(\gamma)} \Big|_{\gamma=\gamma_T \pm \Delta\gamma_T/2} \ll 1, \quad (12)$$

where  $\gamma_T \pm \Delta\gamma_T/2$  correspond to the instants just after and before the  $\gamma_T$ -jump,  $\hat{I}$  is given by Eq. 6, and the phase spread at the adiabatic regime is

$$\hat{\phi} = S^{1/2} \left( \frac{-2h^3\omega_s^2\eta_0}{\pi A E_0 \beta_s^2 q e \hat{V} \cos\phi_s} \right)^{1/4}. \quad (13)$$

Furthermore, the jump should be accomplished in a short time period so that the effective crossing rate is much larger than the original acceleration rate.

It is obtained that a jump of 0.8 unit performed in about 60 ms is required to eliminate the chromatic non-linear effect. With such a jump, the growth in the bunch area due to

the self-field mismatch is about 10% (Eq. 12). Fig. 1 shows the longitudinal phase-space diagrams before, at, and after transition at  $\gamma_s=24.5$ , 24.7, and 24.9, respectively. The transition is crossed without beam loss.

Fig. 2 shows the longitudinal bunch area  $S_f = S + \Delta S$  after transition as a function of the bunch area  $S$  before transition. For a bunch area  $S$  smaller than 0.3 eV·s/u, the effect of the non-linearity is reduced, while that of the self-field mismatch and microwave instability becomes dominant (Fig. 3). On the other hand, for an area larger than 0.3 eV·s/u, the area growth increases due to the fact that a  $\gamma_T$ -jump of 0.8 unit is not enough to completely eliminate the chromatic non-linear effect.

Fig. 4 shows that with the  $\gamma_T$ -jump, the area growth is approximately a linear function of the net coupling impedance. If measures are taken so that the effective space-charge impedance is properly compensated by the inductive wall impedance, the growth will be minimized accordingly.

#### 4. Tolerance on the 160 MHz RF System

The transition energy is crossed with the 26.7 MHz rf system using a peak voltage of 300 kV. During the crossing, measures are taken to minimize the voltage of the 160 MHz rf system (for storage). However, due to imperfection in phase and amplitude control, a non-zero voltage may still remain.

Previous studies<sup>13</sup> indicate that for a given phase  $\phi_{2s}$  of the 160 MHz voltage relative to the center of the bunch, the bunch-area growth is linearly proportional to the ratio of the amplitude of this voltage  $\hat{V}_2$  to that of the accelerating voltage. On the other hand, for a given amplitude  $\hat{V}_2$ , the most severe bunch distortion occurs when  $\phi_{2s} = 180^\circ$ . Since the bunch length is comparable to the bucket width of the 160 MHz rf system, the bunch appears  $S$ -shaped after transition if the remnant voltage is significant.

Fig. 5 shows the effective growth of the bunch area as a function of the remnant voltage for cases of  $\phi_{2s} = 0$  and  $180^\circ$ , respectively, calculated from the results of the computer simulation. It is observed that with the  $\gamma_T$ -jump, the additional growth in area will be less

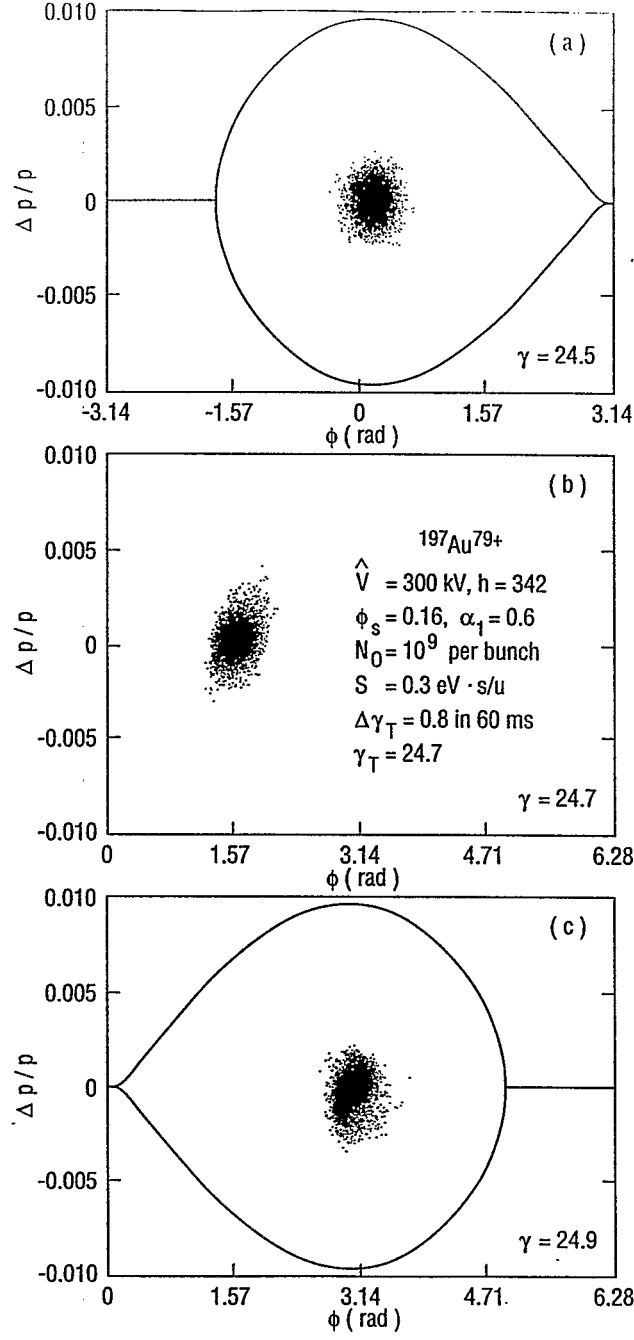


Figure 1: Longitudinal phase-space diagrams of a  $^{197}\text{Au}^{79+}$  bunch before, at, and after transition in RHIC at  $\gamma_s = 24.5, 24.7$ , and  $24.9$ , respectively, using a  $\gamma_T$ -jump of  $0.8$  unit in  $60 \text{ ms}$ .

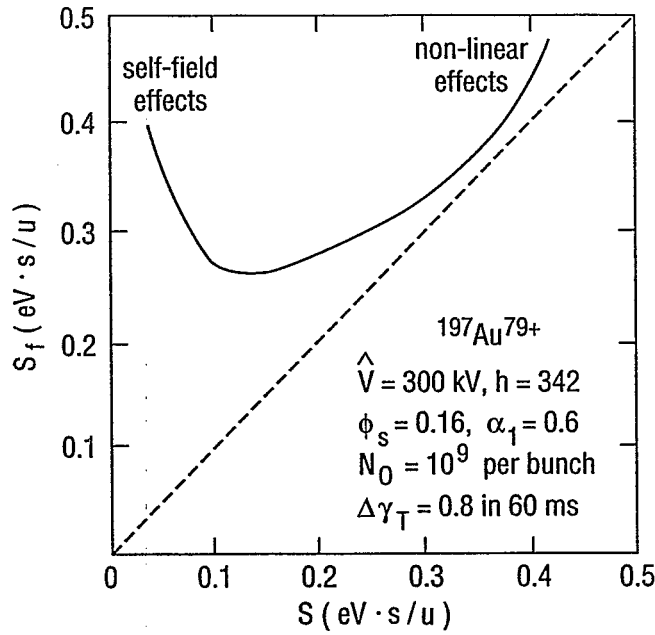


Figure 2: Longitudinal bunch area after transition as a function of the area before transition.

than 10% if  $\hat{V}_2$  is less than 10 kV.

#### 4. Conclusion

Both analytical and computational studies have been performed to investigate the transition-energy crossing of different species of ion beams. With the currently proposed RHIC lattice and beam intensity,<sup>7</sup> it is shown that a “ $\gamma_T$ -jump” of about 0.8 unit performed in about 60 ms, is required to achieve a crossing with no particle loss and negligible (less than 20% for all species of ions) bunch-area growth. Such a “clean” crossing requires the amplitude of the remnant voltage of the 160 MHz rf system to be controlled below 10 kV during the time of transition.

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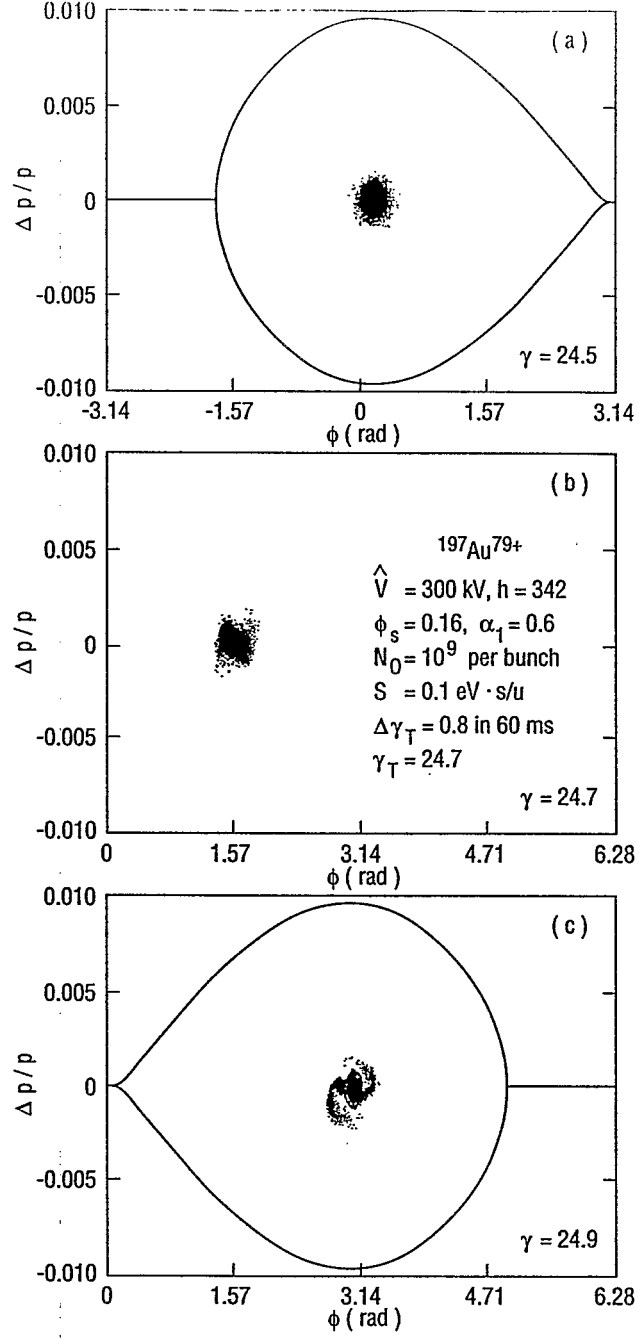


Figure 3: Transition crossing of a bunch of 0.1 eV·s/u area before transition. Self-field mismatch and microwave instability mainly cause growth in bunch area.

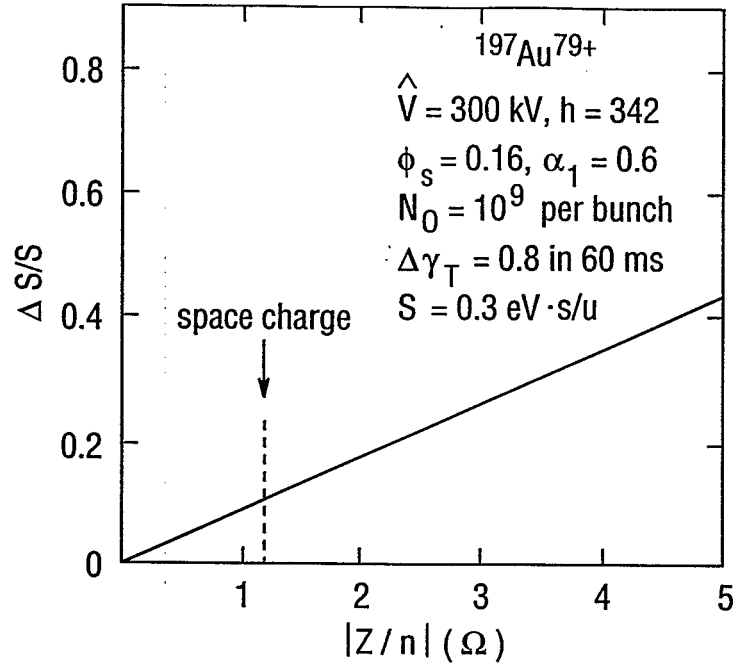


Figure 4: Growth in longitudinal bunch area as a function of the net coupling impedance.

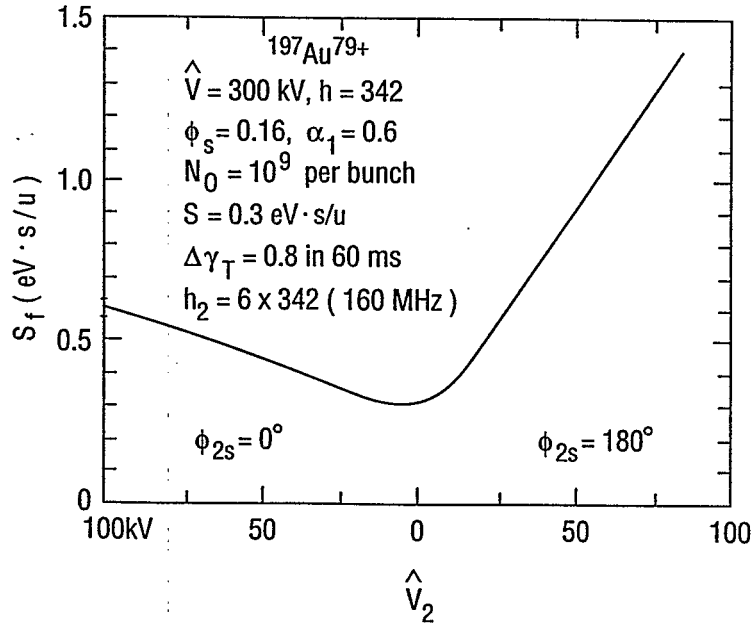


Figure 5: Longitudinal bunch area after transition as a function of the amplitude of the remnant 160 MHz voltage for  $\phi_{2s} = 0$  and  $180^\circ$ , respectively.

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