

# The Residual Tune Splitting due to Linear Coupling - Theory and Correction

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RHIC PROJECT  
Brookhaven National Laboratory

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## 1. Introduction

The tune splitting due to random  $a_1$  has been found to be large in RHIC.  $|\nu_1 - \nu_2| \sim 200 \times 10^{-3}$  was found for the  $\beta^* = 2$  lattice for the worse error distribution out of 10 error distributions tried. Most of this tune splitting can be corrected with a 2 family  $a_1$  correction system,<sup>1</sup> but a residual tune splitting remains that is still appreciable. The residual tune splitting,  $|\nu_1 - \nu_2|$ , that remained in RHIC after correction with the 2 family correction system was found to be about  $18 \times 10^{-3}$ .

The residual  $|\nu_1 - \nu_2|$  appears to be due to higher order effects of the random  $a_1$ . The theory of these effects indicates that the harmonics of  $a_1$  near  $\nu_x + \nu_y$  are the important harmonics. However, the harmonics closest to  $\nu_x + \nu_y$  do not contribute much to the residual  $|\nu_1 - \nu_2|$ . A correction system has been proposed and simulated that appears able to reduce the residual  $|\nu_1 - \nu_2|$ . The lack of a dominant harmonic complicates the correction.

## 2. Residual Tune Splitting in RHIC – Definition and Properties

### Magnitude of Tune Splitting in RHIC

**Table 1:** Results for the correction of the tune splitting for a  $\beta^* = 2$  RHIC lattice using a 2 family tune splitting correction system set to make  $\Delta\nu = 0$ .

| Error<br>Field<br>Dist. | —Uncorrected— |         |                                  | —Corrected— |         |                                  |
|-------------------------|---------------|---------|----------------------------------|-------------|---------|----------------------------------|
|                         | $\nu_1$       | $\nu_2$ | $ \nu_1 - \nu_2 $<br>/ $10^{-3}$ | $\nu_1$     | $\nu_2$ | $ \nu_1 - \nu_2 $<br>/ $10^{-3}$ |
| 1                       | 0.796         | 0.854   | 59                               | 0.828       | 0.823   | 6                                |
| 2                       | 0.707         | 0.935   | 228                              | 0.838       | 0.819   | 19                               |
| 3                       | 0.869         | 0.783   | 86                               | 0.825       | 0.829   | 4                                |
| 4                       | 0.772         | 0.883   | 111                              | 0.831       | 0.823   | 7                                |
| 5                       | 0.779         | 0.872   | 93                               | 0.836       | 0.820   | 16                               |
| 6                       | 0.848         | 0.805   | 43                               | 0.832       | 0.821   | 11                               |
| 7                       | 0.840         | 0.847   | 7                                | 0.852       | 0.834   | 18                               |
| 8                       | 0.742         | 0.895   | 153                              | 0.838       | 0.818   | 20                               |
| 9                       | 0.785         | 0.866   | 81                               | 0.828       | 0.823   | 6                                |
| 10                      | 0.749         | 0.891   | 142                              | 0.823       | 0.827   | 5                                |

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<sup>1</sup> G. Parzen, AD/RHIC-AP-72 (1988).

### Before Correction

Largest  $|\nu_1 - \nu_2| = 228 \times 10^{-3}$ . Note  $\nu = 28.935$ , for error field #2, is almost in  $\nu = 29$  stopband.  $\beta_1 \simeq 140$  shows this. Stopband danger can be avoided by going to  $\beta^* = 6$ , and correcting at  $\beta^* = 6$ .

### Residual $|\nu_1 - \nu_2|$ - After Correction

Correction makes  $\Delta\nu = 0$ .

$$\Delta\nu = \frac{1}{4\pi\rho} \int ds a_1 (\beta_x \beta_y)^{1/2} \exp[i\bar{\nu}(\theta_x - \theta_y)],$$

$$\theta_x = \psi_x/\nu_x, \theta_y = \psi_y/\nu_y, \bar{\nu} = (\nu_x + \nu_y)/2.$$

Largest residual  $|\nu_1 - \nu_2| = 20 \times 10^{-3}$ . Note  $\nu_x = 28.826$ ,  $\nu_y = 28.821$ ,  $|\nu_x - \nu_y| = 5 \times 10^{-3}$ .

Residual tune splitting is  $|\nu_1 - \nu_2|$  after correction,  $\Delta\nu = 0$ .

We will see that after correction

$$\text{Residual } |\nu_1 - \nu_2| \sim a_1^2$$

whereas before correction

$$|\nu_1 - \nu_2| \sim a_1$$

An additional reason for having a residual tune splitting correction system, is to correct the  $\beta$ -functions,  $\beta_1, \beta_2$ . One finds  $\beta_1 \sim 100$  m after correction with the 2 family system for  $\beta^* = 2$ . Correction of the residual tune splitting also corrects  $\beta_1, \beta_2$  to a considerable extent.

### Lowest Order Analytical Result for $\nu_1 - \nu_2$

$$\begin{aligned} \nu_{1,2} &= \bar{\nu} \pm \left[ \left( \frac{\nu_x - \nu_y}{2} \right)^2 + |\Delta\nu|^2 \right]^{\frac{1}{2}}, \\ \Delta\nu &= \frac{1}{4\pi\rho} \int ds a_1 (\beta_x \beta_y)^{\frac{1}{2}} \exp[i\bar{\nu}(\theta_x - \theta_y)], \\ \bar{\nu} &= \frac{1}{2}(\nu_x + \nu_y), \theta_x = \psi_x/\nu_x, \theta_y = \psi_y/\nu_y. \end{aligned} \tag{1}$$

$\nu_1$  is the normal mode that goes to  $\nu_x$  when  $a_1 \rightarrow 0$ , and  $\nu_2$  is the corresponding mode for  $\nu_y$ . For the  $\pm$  sign, the  $+$  sign is used when  $\nu_x > \nu_y$  for  $\nu_1$  and the opposite sign for  $\nu_2$ . Close enough to the  $\nu_x = \nu_y$  resonance,  $\nu_1 - \nu_x \sim |\Delta\nu|$  and is linear in  $a_1$ . Far enough from the  $\nu_x = \nu_y$  resonance,  $|\nu_1 - \nu_x| \simeq |\Delta\nu|^2$  and is quadratic in  $a_1$ .

$$\begin{aligned} |\nu_1 - \nu_2| &= 2 \left[ \left( \frac{\nu_x - \nu_y}{2} \right)^2 + |\Delta\nu|^2 \right]^{\frac{1}{2}} \\ \frac{\nu_1 + \nu_2}{2} &= \frac{\nu_x + \nu_y}{2} \end{aligned} \tag{2}$$

One cannot reduce  $|\nu_1 - \nu_2|$  below  $2|\Delta\nu|$  using  $\nu_x, \nu_y$ . One can control  $(\nu_1 + \nu_2)/2$  using  $\nu_x, \nu_y$ .

Equation (1) is useful only near the  $\nu_x = \nu_y$  resonance line, as it neglects some  $a_1^2$  terms.

### Comments on Figure 1

Figure (1a) shows how well Eq. (1) describes the tune shifts due to  $a_1$ .  $\nu_1$  and  $\nu_2$  have been computed for random  $a_1$  distribution #2, and  $\nu_1$  and  $\nu_2$  are plotted against  $a_1$ .  $a_1 = 0.8$  means that the  $a$  at each element has been reduced by the same factor of 0.8. As  $\nu_x, \nu_y$  is on the resonance lines  $\nu_x = \nu_y$ , Eq. (1) predicts straight lines for  $\nu_1$  and  $\nu_2$  versus  $a_1$ , and the tune splitting agrees well with  $|\nu_1 - \nu_2| = 2\Delta\nu$ .

Figure (1b) shows  $|\nu_1 - \nu_2|$  versus  $a_1$  after a 2 family correction that makes  $\Delta\nu = 0$ . One sees that the residual  $|\nu_1 - \nu_2|$  after correction is quadratic in  $a_1$ . The crosses indicate a  $a_1^2$  curve normalized at  $a_1 = 1$ .

Figure (1c) shows an error distribution, #7, where the higher order  $a_1$  terms in the  $\nu$ -shift dominates even before correction.

### 3. Analytical Results for Residual $|\nu_1 - \nu_2|$

For the case when the tune splitting has been corrected by making  $\Delta\nu = 0$  then

$$\begin{aligned}
 \nu_1 &= \nu_x + \frac{1}{2\nu_x} \Delta_x \\
 \nu_2 &= \nu_y + \frac{1}{2\nu_y} \Delta_y \\
 \Delta_x &= 4\nu_x \nu_y \sum_{n \neq 0} \frac{|c_n|^2}{n(n - \nu_x - \nu_y)} \\
 \Delta_y &= 4\nu_x \nu_y \sum_{n \neq 0} \frac{|b_n|^2}{n(n - \nu_x - \nu_y)} \\
 c_n &= \frac{1}{4\pi\rho} \int ds a_1 (\beta_x \beta_y)^{\frac{1}{2}} \exp[i(\nu_x \theta_x + (n - \nu_x) \theta_y)] \\
 b_n &= \frac{1}{4\pi\rho} \int ds a_1 (\beta_x \beta_y)^{\frac{1}{2}} \exp[i((n - \nu_y) \theta_x + \nu_y \theta_y)] \\
 \theta_x &= \psi_x / \nu_x, \quad \theta_y = \psi_y / \nu_y
 \end{aligned} \tag{3}$$

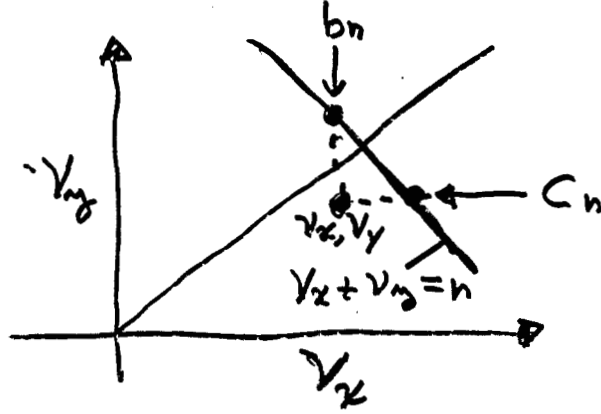
Above holds when  $\nu_x, \nu_y$  are close to the resonance line,  $\nu_x = \nu_y$ . These results will be derived in a separate paper. A numerical check of these results is given below.

Comments on Eq. (3) for  $\nu_1, \nu_2$

1. In 1-dimensional case,  $\nu_1 = \nu_x + 2\nu_x \sum_n |b_n|^2 / (n(n - 2\nu_x))$ , when  $b_0 = 0$ ;  $b_n = (1/4\pi\rho) \int ds b_1 \beta \exp(in\theta)$ . A similar result to Eq. (3).
2. Importance of  $n \sim \nu_x + \nu_y$  harmonic because of the resonance denominator,  $1/(n - \nu_x - \nu_y)$ .  $n \simeq 58$ , for RHIC, is the harmonic closest to  $\nu_x + \nu_y$ .
3.  $b_n, c_n$  are similar to sum resonance stopband integrals corresponding to different  $\nu_x, \nu_y$  on the resonance line,  $\nu_x + \nu_y = n$ .

$$c_n \rightarrow \nu_x, n - \nu_x$$

$$b_n \rightarrow n - \nu_y, \nu_y$$



4. For  $n$  close to  $\nu_x + \nu_y$ ,  $b_n$  and  $c_n$  are nearly equal. Thus  $n = 58$  for RHIC causes the largest change in  $\nu_1$  and  $\nu_2$ , but does not contribute to the residual tune splitting,  $|\nu_1 - \nu_2|$  when  $\Delta\nu = 0$ .
5. Note that  $\Delta x, \Delta y$  can be written in the integral form  $\Delta x \sim \int ds ds' a_1(s) (\beta_x(s) \beta_y(s))^{\frac{1}{2}} a_1(s') (\beta_x(s') \beta_y(s'))^{\frac{1}{2}} g(s - s')$ . This form may be useful in designing a correction system for the residual  $|\nu_1 - \nu_2|$ .

Numerical Test of Analytical Result for the Residual  $|\nu_1 - \nu_2|$

The following table shows the results of a numerical check of the results in Eq. (3). In this check, the  $a_1$  around the ring was excited according to the formula

$$a_1 = A \cos [n(\theta_x + \theta_y)],$$

$$\theta_x = \psi_x / \nu_x, \quad \theta_y = \psi_y / \nu_y.$$

Only 12 high- $\beta$  quadrupoles in the insertions were excited in this way. The  $b_n$  and  $c_n$  in Eq. (3) were computed and the theoretical results from Eq. (3) were compared with computed results for  $\nu_1, \nu_2$ .

| $n$ | Computed                         |                                  | Theory                           |                                  |
|-----|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
|     | $(\nu_1 - \nu_x)$<br>/ $10^{-3}$ | $(\nu_2 - \nu_y)$<br>/ $10^{-3}$ | $(\nu_1 - \nu_x)$<br>/ $10^{-3}$ | $(\nu_2 - \nu_y)$<br>/ $10^{-3}$ |
| 59  | 9                                | 7                                | 9                                | 9                                |
| 58  | 32                               | 30                               | 33                               | 33                               |
| 57  | -3                               | -1                               | -1                               | -1                               |
| 56  | 25                               | 27                               | 26                               | 26                               |
| 55  | 6                                | 7                                | 6                                | 6                                |

#### 4. Correction of the Residual Tune Splitting<sup>2</sup>

##### Optimize the 2 Family Tune Splitting Correction

Instead of the setting  $\Delta\nu = 0$ , set corrections to minimize  $|\nu_1 - \nu_2|$ . These results are shown in Table 2.

**Table 2:** Residual tune splitting resulting from two different methods of tune splitting correction using the 2-family tune splitting correction system.

| Error<br>Field<br>Distribution | $ \nu_1 - \nu_2 /10^{-3}$<br>$\Delta\nu = 0$<br>Correction | $ \nu_1 - \nu_2 /10^{-3}$<br>minimize $ \nu_1 - \nu_2 $<br>Correction |
|--------------------------------|------------------------------------------------------------|-----------------------------------------------------------------------|
|                                | $\beta^* = 2$                                              |                                                                       |
| 1                              | 6                                                          | 5                                                                     |
| 2                              | 19                                                         | 18                                                                    |
| 3                              | 4                                                          | 3                                                                     |
| 4                              | 7                                                          | 7                                                                     |
| 5                              | 16                                                         | 8                                                                     |
| 6                              | 11                                                         | 11                                                                    |
| 7                              | 18                                                         | 7                                                                     |
| 8                              | 20                                                         | 16                                                                    |
| 9                              | 6                                                          | 6                                                                     |
| 10                             | 5                                                          | 4                                                                     |

##### The Enlarged $a_1$ Correction System

For the enlarged  $a_1$  correction system, there are 12  $a_1$  correctors, one at each high- $\beta$  quad, Q2 or Q3. In the correction scheme that was simulated, these 12  $a_1$  correctors were excited according to the equation

$$a_1 = A \cos(\psi_x + \psi_y) + B \sin(\psi_x + \psi_y)$$

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<sup>2</sup> G. Parzen, AD/RHIC-82 (1990).

**Table 3:** The reduction in the residual tune splitting obtained using the enlarged  $a_1$  correction system, compared with the results obtained with the 2-family correction system, minimizing  $|\nu_1 - \nu_2|$ .

| Error<br>Field<br>Distribution | $ \nu_1 - \nu_2 /10^{-3}$<br>2 Family<br>Correction<br>System | $ \nu_1 - \nu_2 /10^{-3}$<br>enlarged $a_1$<br>Correction<br>System |
|--------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------|
| $\beta^* = 2$                  |                                                               |                                                                     |
| 1                              | 5                                                             | 5                                                                   |
| 2                              | 16                                                            | 2.8                                                                 |
| 3                              | 3                                                             | 3                                                                   |
| 4                              | 5                                                             | 5                                                                   |
| 5                              | 5                                                             | 5                                                                   |
| 6                              | 9                                                             | 3                                                                   |
| 7                              | 14                                                            | 1.5                                                                 |
| 8                              | 10                                                            | 2                                                                   |
| 9                              | 6                                                             | 3                                                                   |
| 10                             | 1                                                             | 3                                                                   |

The total correction field is given by

$$a_1 = A \cos(\psi_x + \psi_y) + B \sin(\psi_x + \psi_y) + C (a_1 \text{ due to Family 1}) + D (a_1 \text{ due to Family 2})$$

These 4 parameters  $A, B, C, D$  are varied to minimize  $|\nu_1 - \nu_2|$ . The results using the enlarged  $a_1$  correction system are shown in Table 3.

#### Varying the Harmonic Number of the $a_1$ Correction

A further improvement in  $|\nu_1 - \nu_2|$  and in the correction of  $\beta_1, \beta_2$  is obtained by exciting the 12 correctors according to

$$a_1 = A \cos n(\theta_x + \theta_y) + B \sin n(\theta_x + \theta_y)$$

One now has 5 parameters to vary,  $A, B, C, D, n$ .

The effect of varying  $n$  is shown on the next figure, Fig. 2. This additional parameter is sometimes useful in correcting  $\beta_1, \beta_2$  as well as  $\nu_1, \nu_2$ .

#### Conclusions

To correct the tune splitting, one has to reduce  $\Delta\nu, \Delta_x, \Delta_y$ . Thus a correction system with a minimum of 4 adjustable parameters is required, depending on the location of the  $a_1$  correctors. The correction system described here is appealing because it emphasizes the important harmonics, the  $|\nu_x - \nu_y|$  harmonic (0 for RHIC) and the  $\nu_x + \nu_y$  harmonic (58

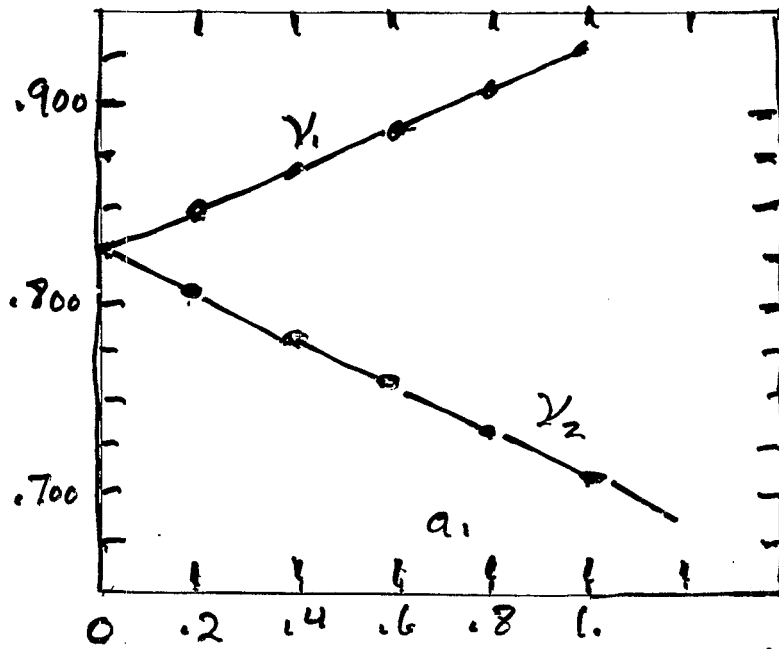
for RHIC). Probably, other arrangements of the  $a_1$  correctors, with 4 or more parameters, may also work well.

The setting of the 4 or more parameters required may be difficult, partly because of the lack of a dominant harmonic. The setting of the parameters may be made easier by providing observation stations that measure  $\beta_1, \beta_2$  or the 4 parameters of the  $R$  matrix that defines the normal mode coordinates. At the moment, this may seem like too much effort for this effect as far as RHIC is concerned.

$\beta^* = 2$ , Seed = 2

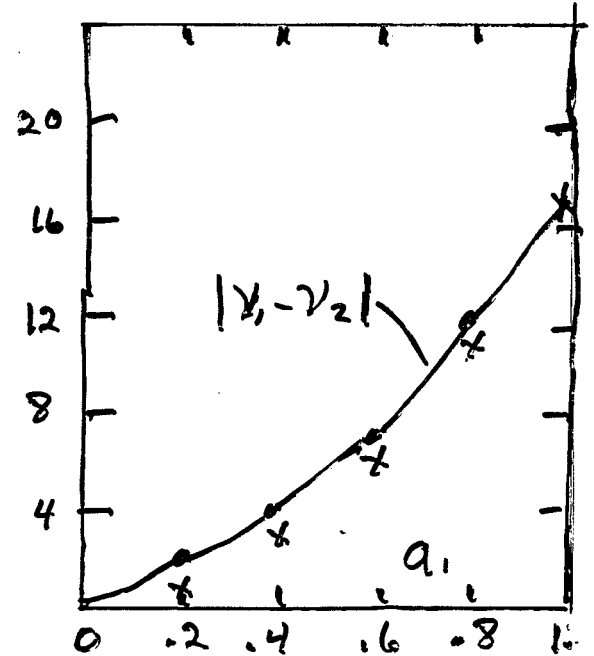
$b_1 = 0$ ,  $\gamma_x, \gamma_y = .824, .824$

un corrected,  $\Delta V = .11$

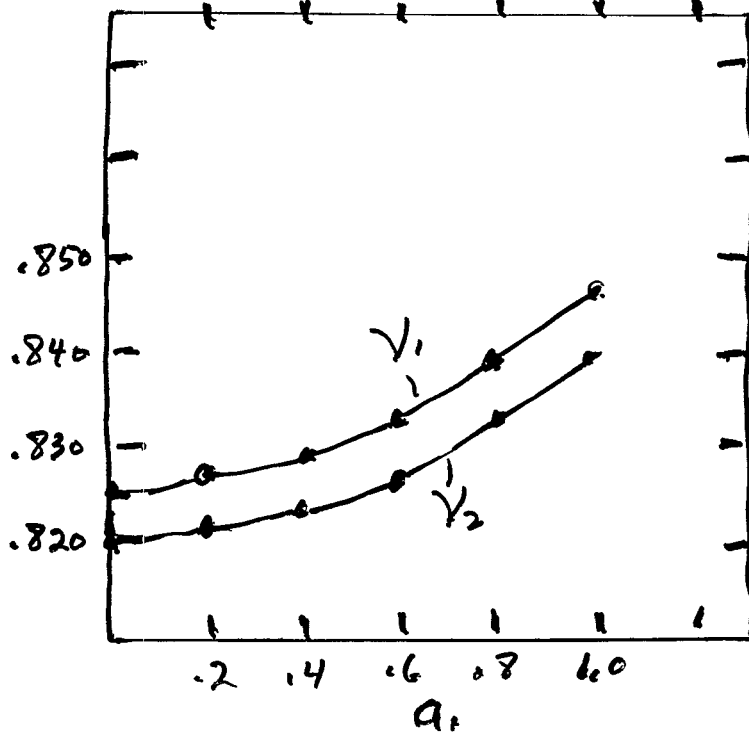


(1a)

Corrected



Uncorrected,  $\Delta V = .011$



$\beta^* = 2$ , Seed = 7

$\gamma_x, \gamma_y = .826, .821$

(1b)

Fig. 1.

$$a_1 = A \cos n(\theta_x + \theta_y) + B \sinh n(\theta_x + \theta_y)$$

$$B^* = 2, \text{ Seed} = 2$$

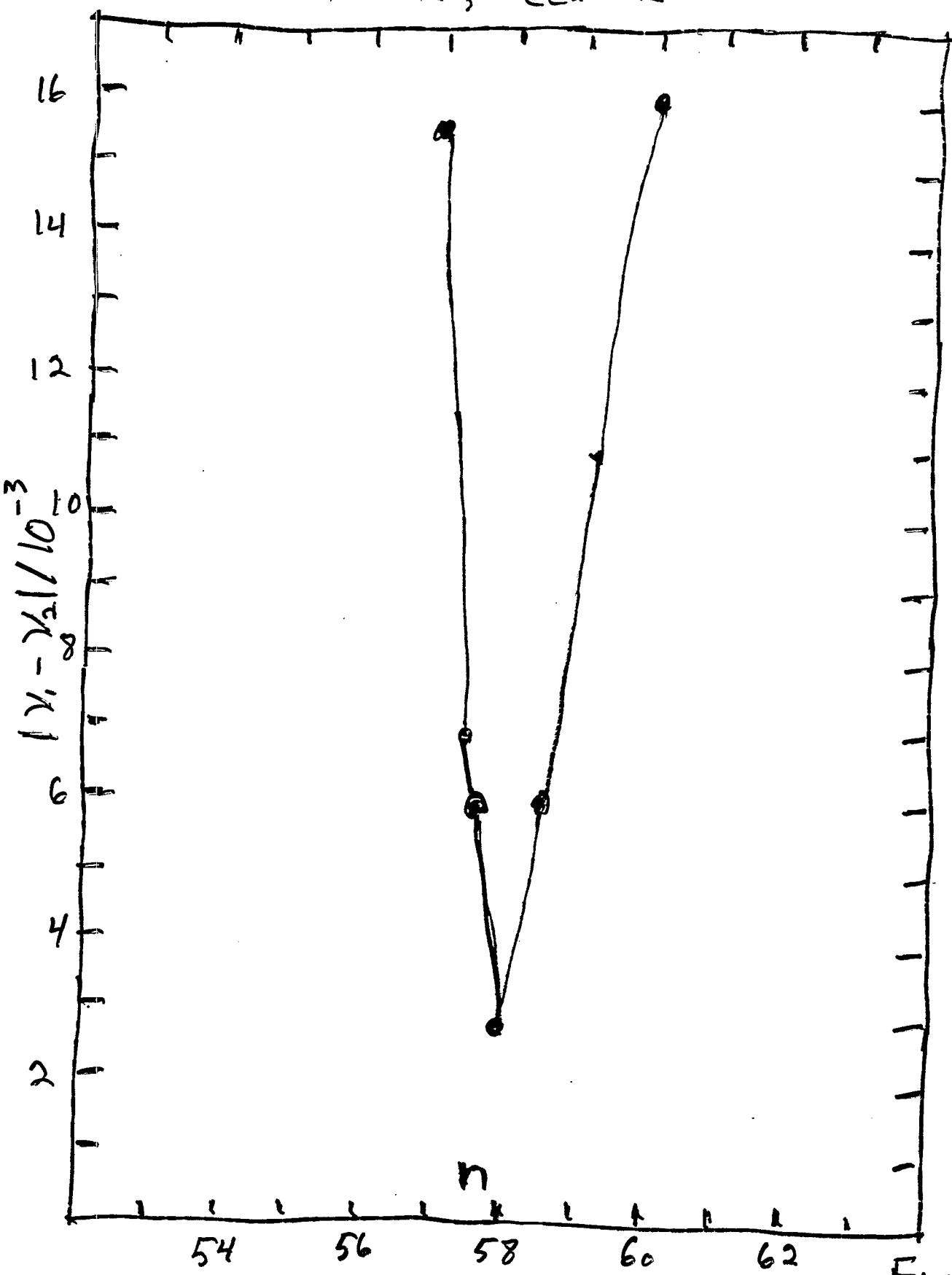


Fig. 2