

Comments on the Operation of the RHIC CNI Polarimeters

H. Spinka

September 2003

Collider Accelerator Department
Brookhaven National Laboratory

U.S. Department of Energy

USDOE Office of Science (SC)

Notice: This technical note has been authored by employees of Brookhaven Science Associates, LLC under Contract No. DE-AC02-98CH10886 with the U.S. Department of Energy. The publisher by accepting the technical note for publication acknowledges that the United States Government retains a non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published form of this technical note, or allow others to do so, for United States Government purposes.

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, nor any of their contractors, subcontractors, or their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or any third party's use or the results of such use of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof or its contractors or subcontractors. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

C-A/AP/#112
September 2003

Comments on the Operation of the RHIC CNI Polarimeters

H. Spinka
Argonne National Laboratory



**Collider-Accelerator Department
Brookhaven National Laboratory
Upton, NY 11973**

Comments on the Operation of the RHIC CNI Polarimeters

H. Spinka¹

¹*High Energy Physics Division*

Argonne National Laboratory

Argonne, Illinois 60439

(Dated: September 24, 2003)

Abstract

The RHIC polarimeter data have exhibited behavior suggesting that systematic effects are present at a level comparable to the statistical uncertainty per run, or $\sim 4 \times 10^{-4}$, in the physics asymmetries and somewhat larger in the luminosity asymmetries. This corresponds to an error in the polarization of approximately $\delta P \sim \pm 0.03$. Two effects are studied that might explain some of the observations, both based on detector rates. The results may explain the systematic effects in both the physics and the luminosity asymmetries. The first effect is caused by the presence of both a background and a good p + C elastic scattering event in the same passage of a bunch through the polarimeter target. The second effect similarly depends on the presence of multiple p + C elastic events from the same bunch. If these rate effects become large enough (or if $\delta P \sim \pm 0.03$ continues and the beam polarization is less than 0.60), they could prevent determination of the beam polarization to $\delta P/P = \pm 5\%$ unless a correction can be determined to the measured asymmetries.

I. INTRODUCTION

Possible explanations for some of the problems encountered with the CNI polarimeters in RHIC during the 2000/2001 run period [1, 2] are given. These problems include:

- unexpected nonzero up-down and cross ratio physics asymmetries, $\epsilon_{phys,Y45}$ and $\epsilon_{phys,CR45}$, respectively.
- fluctuations outside statistics for the least squares fit to the data from the 6 detectors in each RHIC polarimeter.
- differences outside statistical errors of the three luminosity asymmetries: $\epsilon_{lum,1-4}$, $\epsilon_{lum,2-5}$, and $\epsilon_{lum,3-6}$.
- larger deviations from zero than expected from statistical uncertainties when bunch spin directions were artificially reassigned to give zero net polarization to “+” and “-” sets of bunches.

On the basis of these observations, it is thought that the size of systematic errors in physics asymmetries from the CNI polarimeters is comparable to, or somewhat smaller than, the statistical uncertainties for individual runs, or about $0.3 - 0.4 \times 10^{-3}$. The goal of this note is to discuss mechanisms that may explain some of the problems noted above.

II. THE RHIC CNI POLARIMETERS

The RHIC CNI polarimeters consist of six sets of silicon strips in detectors as shown in Fig. 1. Detectors 2 and 5 are in the horizontal plane on opposite sides of the beam, with the strips having a narrow θ and a wider ϕ acceptance. The other four detectors are at $\pm 45^\circ$ to the horizontal plane with strips having a narrow ϕ and a wider θ acceptance. All detectors viewed a thin, vertical carbon ribbon target, which intercepted only a small fraction of the RHIC beam. For the 2001/2002 run, 8 strips in each detector were instrumented with waveform digitizers (WFD), but there were few counts in two of these eight strips for detectors 2 and 5.

The waveform digitizers and associated electronics select events according to the signal amplitude (ADC) and timing (TDC) relative to the mean time of a bunch passing the

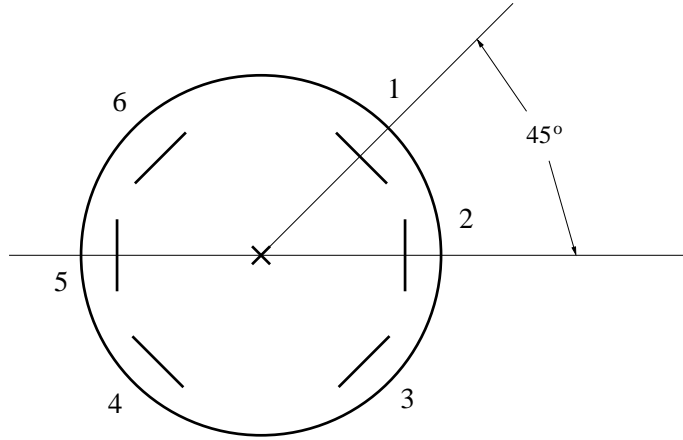


FIG. 1: Layout of the six silicon strip detectors for the RHIC CNI polarimeters, with the beam going into the page. Detectors 1, 2, and 3 are considered “left” and detectors 4, 5, and 6 are “right”. This agrees with the standard polarization sign convention that positive analyzing power corresponds to more forward scattered particles to the left than to the right, since the detectors are located to observe the recoil carbons.

polarimeter target. The amplitude must pass a lower threshold cut, and the ADC value is chosen to be the maximum within the WFD time range. The TDC value corresponds to the timing (earlier than the ADC peak) when the amplitude drops below a fixed fraction of the maximum (~ 0.25). A “banana cut” was applied in the electronics, shown schematically in Fig. 2. The events passing the cuts were recorded separately for each strip and bunch, and for each circuit around RHIC with period $(213 \text{ nsec}) \cdot (60 \text{ bunches}) \cong 13 \mu\text{sec}$. Either zero or one event was recorded per passage of each bunch.

Normal data taking runs recorded the number of good events in scalars; backgrounds were not measured. Typical runs collected 20M events that satisfied the banana cut from the six detectors, and they lasted 30 sec to over 2 min. Other runs recorded the ADC and TDC values for events, and were used to set the banana cut parameters for each strip.

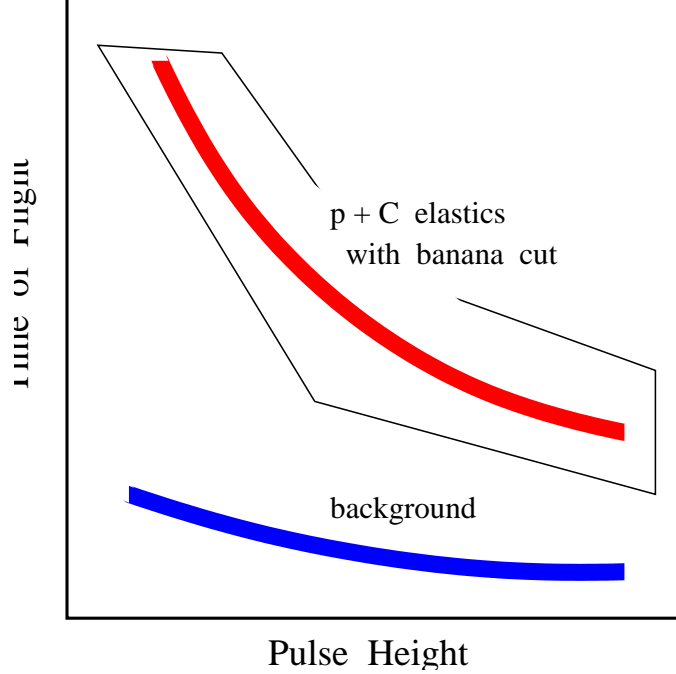


FIG. 2: Schematic showing the flight time and pulse height correlation for events detected in the CNI polarimeters. The band of elastic recoil carbons is shown, together with the “banana cut” applied to the data with the polarimeter electronics. The background extends throughout the plot, including under the p + C elastics, but is often concentrated in a band as shown.

III. BASIC POLARIMETER FORMULAE

For (approximately) symmetric sets of detectors on opposite sides of the beam (L = left, R = right), the number of counts recorded in some time for idealized detectors will be

$$\begin{aligned}
 N_L^\uparrow &= N_0 B^\uparrow d\Omega_L (1 + P^\uparrow A_L) \\
 N_L^\downarrow &= N_0 B^\downarrow d\Omega_L (1 - P^\downarrow A_L) \\
 N_R^\uparrow &= N_0 B^\uparrow d\Omega_R (1 - P^\uparrow A_R) \\
 N_R^\downarrow &= N_0 B^\downarrow d\Omega_R (1 + P^\downarrow A_R),
 \end{aligned} \tag{1}$$

where the integrated beam intensities are B^\uparrow and B^\downarrow , and the beam polarizations are P^\uparrow and P^\downarrow for beam polarization directions up (\uparrow) and down (\downarrow), respectively. The analyzing powers for the L and R detectors are A_L and A_R , and the products of solid angle and efficiency are $d\Omega_L$ and $d\Omega_R$. A normalization factor, N_0 , is dependent on running time, cross section, target thickness, etc.

There are three asymmetries that can be determined from square root formulae. The most important is the “physics asymmetry”:

$$\begin{aligned}
\epsilon_{phys} &= \frac{\sqrt{N_L^\uparrow N_R^\downarrow} - \sqrt{N_L^\downarrow N_R^\uparrow}}{\sqrt{N_L^\uparrow N_R^\downarrow} + \sqrt{N_L^\downarrow N_R^\uparrow}} \\
&= \frac{1}{2}(P^\uparrow + P^\downarrow) \times \frac{1}{2}(A_L + A_R) + h.o.t. \\
&= PA + h.o.t.,
\end{aligned} \tag{2}$$

where the higher order terms are fourth order in terms of small quantities such as

$$\begin{aligned}
\epsilon_A &= \frac{A_L - A_R}{A_L + A_R} \\
\epsilon_B &= \frac{B^\uparrow - B^\downarrow}{B^\uparrow + B^\downarrow} \\
\epsilon_{d\Omega} &= \frac{d\Omega_L - d\Omega_R}{d\Omega_L + d\Omega_R} \\
\epsilon_P &= \frac{P^\uparrow - P^\downarrow}{P^\uparrow + P^\downarrow} \\
PA &= \frac{1}{2}(P^\uparrow + P^\downarrow) \times \frac{1}{2}(A_L + A_R).
\end{aligned} \tag{3}$$

For the CNI polarimeters, the term PA is actually second order compared to some of the other four quantities in the list above. The ϵ_B and $\epsilon_{d\Omega}$ terms do not contribute to Eq. (2) when using Eqs. (1). The second type of square root asymmetry is the “luminosity asymmetry”, ϵ_{lum} , which is sensitive to ϵ_B :

$$\begin{aligned}
\epsilon_{lum} &= \frac{\sqrt{N_L^\uparrow N_R^\uparrow} - \sqrt{N_L^\downarrow N_R^\downarrow}}{\sqrt{N_L^\uparrow N_R^\uparrow} + \sqrt{N_L^\downarrow N_R^\downarrow}} \\
&= \epsilon_B + PA\epsilon_A + h.o.t.,
\end{aligned} \tag{4}$$

where the higher order terms are now third order in small quantities, but $\epsilon_{d\Omega}$ is not present when using Eqs. (1). The third type of square root asymmetry is the “geometric asymmetry”:

$$\begin{aligned}
\epsilon_{geom} &= \frac{\sqrt{N_L^\uparrow N_L^\downarrow} - \sqrt{N_R^\uparrow N_R^\downarrow}}{\sqrt{N_L^\uparrow N_L^\downarrow} + \sqrt{N_R^\uparrow N_R^\downarrow}} \\
&= \epsilon_{d\Omega} + PA\epsilon_P + h.o.t.,
\end{aligned}
\tag{5}$$

where the higher order terms are also third order in small quantities, and ϵ_B is absent.

The square root asymmetries were applied to a number of quantities from the CNI polarimeter data. Summing counts from detectors 1 and 3 for “left” and detectors 4 and 6 for “right” and applying Eq. (1) gave the X45 physics asymmetry, $\epsilon_{phys,X45}$. This should be equal to $\sin 45^\circ$ times the asymmetry from detectors 2 and 5, $\epsilon_{phys,2-5}$. In a similar manner, summing detectors 1 and 6 for “up” and 3 and 4 for “down” produced the Y45 asymmetry, $\epsilon_{phys,Y45}$. Since the nominal beam polarization direction was vertical, $\epsilon_{phys,Y45}$ was expected to vanish. Finally, the cross ratio (CR45) asymmetries used detectors 1 and 4 for “left” and 3 and 6 for “right” to produce $\epsilon_{phys,CR45}$. For any spin direction, $\epsilon_{phys,CR45}$ should be zero.

Luminosity asymmetries were computed for each pair of detectors on opposite sides of the beam, 1-4, 2-5, and 3-6. These are denoted $\epsilon_{lum,1-4}$, $\epsilon_{lum,2-5}$, and $\epsilon_{lum,3-6}$. Since all six detectors viewed the same target at the same time, these three luminosity asymmetries should agree within statistical uncertainties, as indicated in Eq. (4). For the RHIC CNI polarimeters, the correction term $PA\epsilon_A$ is expected to be smaller than $(PA \sim 2 \times 10^{-3}) \cdot (\epsilon_A \sim 0.05) \sim 10^{-4}$ in magnitude, or a factor 3–4 smaller than statistical errors.

Finally, a least squares fit was made to the data from the six detectors to determine the X and Y physics asymmetries. The chi-squared from the fit was evaluated to test for consistency of the data.

IV. RATE EFFECTS IN THE CNI POLARIMETERS

This section will describe a pair of mechanisms that could produce the observed systematic effects in the polarimeter data. The actual situation is much more complicated than can be accurately modeled in this note. Therefore, simplifying assumptions will be made in order to make estimates of the size of systematic effects in ϵ_{phys} and ϵ_{lum} . Rough agreement with observations would suggest that further, detailed investigation is warranted.

Consider two possible sources of rate effects in the RHIC polarimeters. In the first case, α 's or some background process could be present at the “same time” as a true p + C elastic scattering event. That is, they would be recorded in the same WFD readin and could both come from the same bunch interacting in the target. The data indicate such background events usually occur with small TDC values and a range of signal sizes (ADC). The combined event would then have a smaller TDC value than typical p + C events, and thus would likely fail the banana cut, resulting in the loss of a good p + C event. For this analysis, it will be assumed that a good event is always lost when a background event is also present. A detailed simulation is required to evaluate the true impact of the background.

The fraction of time there would be a background event from a bunch hitting the polarimeter target (or occupancy) will be denoted f_B . It will be assumed initially that this fraction is not spin dependent, but may differ from detector to detector due to different dead layers in the silicon strips, etc. The fractions for the left and right detectors and up and down beam spins are f_{BL}^\uparrow , f_{BL}^\downarrow , f_{BR}^\uparrow , and f_{BR}^\downarrow . These fractions will reduce the number of good events detected.

The second type of rate effect occurs if two p + C elastic events occur at the “same time”. In this case, the combined event would have a large TDC value and a larger ADC value than for a single, typical p + C elastic event. It will be assumed that this event is counted by the polarimeter electronics, resulting in the net loss of one p + C event. It can be shown that the size of the calculated effect on the asymmetries doubles if no event is counted for such combined events. Again, a detailed simulation is required to accurately estimate this effect.

The fraction of the time there would be a good p + C elastic event from a bunch striking the polarimeter target will depend on both the detector and spin direction. These will be denoted f_L^\uparrow , f_L^\downarrow , f_R^\uparrow , and f_R^\downarrow , with an average of f_T .

Including the two rate effects described above into Eqs. (1), then the number of events in the RHIC polarimeters will be:

$$\begin{aligned}
N_L^\uparrow &= N_0 B^\uparrow d\Omega_L (1 + P^\uparrow A_L) \cdot (1 - f_{BL}^\uparrow - f_L^\uparrow) \\
N_L^\downarrow &= N_0 B^\downarrow d\Omega_L (1 - P^\downarrow A_L) \cdot (1 - f_{BL}^\downarrow - f_L^\downarrow) \\
N_R^\uparrow &= N_0 B^\uparrow d\Omega_R (1 - P^\uparrow A_R) \cdot (1 - f_{BR}^\uparrow - f_R^\uparrow) \\
N_R^\downarrow &= N_0 B^\downarrow d\Omega_R (1 + P^\downarrow A_R) \cdot (1 - f_{BR}^\downarrow - f_R^\downarrow).
\end{aligned} \tag{6}$$

It is assumed that the effects of bunches with two good p + C elastic scatters and a background event are negligible in Eqs. (6). Inserting these revised expressions into Eqs. (2) and (4) gives to second order in f_i :

$$\begin{aligned} \epsilon_{phys} \cong & PA + (f_L^\downarrow + f_R^\uparrow - f_L^\uparrow - f_R^\downarrow)(1 + 2f_T + 2f_B)/4 + \\ & (f_{BL}^\downarrow + f_{BR}^\uparrow - f_{BL}^\uparrow - f_{BR}^\downarrow)(1 + 2f_T + 2f_B)/4 + \\ & [(f_L^\uparrow + f_{BL}^\uparrow)(f_R^\downarrow + f_{BR}^\downarrow) - (f_L^\downarrow + f_{BL}^\downarrow)(f_R^\uparrow + f_{BR}^\uparrow)]/4 + h.o.t. \end{aligned} \quad (7)$$

$$\begin{aligned} \epsilon_{lum} \cong & \epsilon_B + PA\epsilon_A + (f_L^\downarrow + f_R^\downarrow - f_L^\uparrow - f_R^\uparrow)(1 + 2f_T + 2f_B)/4 + \\ & (f_{BL}^\downarrow + f_{BR}^\downarrow - f_{BL}^\uparrow - f_{BR}^\uparrow)(1 + 2f_T + 2f_B)/4 + \\ & [(f_L^\uparrow + f_{BL}^\uparrow)(f_R^\uparrow + f_{BR}^\uparrow) - (f_L^\downarrow + f_{BL}^\downarrow)(f_R^\downarrow + f_{BR}^\downarrow)]/4 + h.o.t. \end{aligned} \quad (8)$$

It is anticipated that the magnitude of the corrections will generally be smaller for the physics than the luminosity asymmetry, because of the expected partial cancellation of f_L^\uparrow and f_R^\uparrow and of f_L^\downarrow and f_R^\downarrow in ϵ_{phys} , etc. Equations (7) and (8) are the important results from this note, and should be used to evaluate whether such rate effects are actually affecting the asymmetries from the polarimeter data at a significant level. They assume the occupancies are small, comparable to ϵ_A , ϵ_B , $\epsilon_{d\Omega}$, and ϵ_P , but perhaps significantly larger in magnitude than PA . Also, whereas ϵ_{phys} was independent of ϵ_B and $\epsilon_{d\Omega}$ using Eqs. (1), this will generally no longer be true using Eqs. (6).

V. ESTIMATES OF THE SIZE OF RATE EFFECTS

In order to make numerical estimates, an additional assumption is required. It will be assumed that the fractions f_j are proportional to the observed counts N_j ; this fails if not all silicon strips are working or recorded in all detectors. Then

$$\begin{aligned} f_L^\uparrow &\cong f_T N_0 B^\uparrow d\Omega_L (1 + P^\uparrow A_L)/N_0 B d\Omega \\ f_L^\downarrow &\cong f_T N_0 B^\downarrow d\Omega_L (1 - P^\downarrow A_L)/N_0 B d\Omega \\ f_R^\uparrow &\cong f_T N_0 B^\uparrow d\Omega_R (1 - P^\uparrow A_R)/N_0 B d\Omega \\ f_R^\downarrow &\cong f_T N_0 B^\downarrow d\Omega_R (1 + P^\downarrow A_R)/N_0 B d\Omega, \end{aligned} \quad (9)$$

where $B = (B^\uparrow + B^\downarrow)/2$ and $d\Omega = (d\Omega_L + d\Omega_R)/2$ are averages. It can be verified that the average of the four quantities in Eq. (9) is indeed f_T . The background fractions will

initially be assumed to depend only on the beam intensity and solid angle times efficiency, that is to be spin independent:

$$\begin{aligned}
f_{BL}^\uparrow &\cong f_B N_0 B^\uparrow d\Omega_L / N_0 B d\Omega \\
f_{BL}^\downarrow &\cong f_B N_0 B^\downarrow d\Omega_L / N_0 B d\Omega \\
f_{BR}^\uparrow &\cong f_B N_0 B^\uparrow d\Omega_R / N_0 B d\Omega \\
f_{BR}^\downarrow &\cong f_B N_0 B^\downarrow d\Omega_R / N_0 B d\Omega,
\end{aligned} \tag{10}$$

where f_B is the average of these four quantities. Substituting these into Eqs. (7) and (8) gives:

$$\epsilon_{phys} \cong P A (1 - f_T) - (f_T + f_B) \epsilon_B \epsilon_{d\Omega} + h.o.t. \tag{11}$$

$$\epsilon_{lum} \cong \epsilon_B [1 - (f_T + f_B)] + P A \epsilon_A + h.o.t. \tag{12}$$

It is assumed that $P A$ is second order compared to ϵ_B , $\epsilon_{d\Omega}$, and the occupancies in the equations above. The higher order terms are fourth order in small quantities (ϵ_B , $\epsilon_{d\Omega}$, f) in Eq. (11) and third order in Eq. (12). The factors multiplying $P A$ and ϵ_B in Eqs. (11) and (12) are dilutions of the asymmetries due to the greater loss of events for the detector and spin state with the higher rates. This dilution persists even when the detectors have equal solid angles and efficiencies, or $\epsilon_{d\Omega} = 0$. On the other hand, the $(f_T + f_B) \epsilon_B \epsilon_{d\Omega}$ term is a correction specifically for different occupancies when the beam intensities differ and the $d\Omega$ factors are not equal. It does NOT require spin dependence to the occupancy.

Note, the correction $-f_T P A$ comes specifically from such spin dependence in the f_i . If the background likewise has a spin dependence, with left and right analyzing powers A_{BL} and A_{BR} , there is no change to Eq. (12) to third order, but Eq. (11) becomes:

$$\epsilon_{phys} \cong P A (1 - f_T) - (f_T + f_B) \epsilon_B \epsilon_{d\Omega} - f_B P A_B + h.o.t., \tag{13}$$

where $A_B = (A_{BL} + A_{BR})/2$ is the analyzing power of the background reaction(s).

The typical physics asymmetry for the 2001/2002 run was $\epsilon_{phys} \cong P A \cong 2 \times 10^{-3}$, and luminosity asymmetries were often as large in magnitude as 0.04, so $|\epsilon_B| \sim 0.04$ will be assumed. (Note, nonzero ϵ_B can result from a different number of \uparrow and \downarrow bunches even if the instantaneous intensities per bunch are all equal. However, for the effects described

in this note, instantaneous rates are really the important quantities.) Similarly, $|\epsilon_{geom}|$ was frequently 0.1, although this may have been inaccurate if one or more strips were not working in a detector.

Finally, the average fractions f_T and f_B must be estimated. Typical runs collected 20×10^6 events that passed banana cuts in 6 strips in 6 detectors. Runs were often as short as 30 sec. Of the 60 bunch positions in each ring, only 55 contained beam when taking into account the abort gap, and only 52 had nonzero polarizations. The bunch spacing was 213 nsec, and some strips had twice the count rate of the average [3]. Then

$$f_T \cong \left(\frac{20 \times 10^6 \text{ events}}{6 \times 6 \text{ strips}} \right) \cdot \left(\frac{213 \times 10^{-9} \text{ sec/bunch}}{30 \text{ sec run}} \right) \cdot \left(\frac{60 \text{ bunches total}}{52 \text{ useful bunches}} \right) \cdot (2 \times \text{av.rate})$$

$$\cong 9.1 \times 10^{-3}.$$

The background occupancy, f_B , can only be roughly estimated. A portion of the background is apparent in event data taken to adjust the banana cut, shown schematically in Fig. 2. The fraction of events inside the cut varies with time, operating conditions, and strip; the average value over all strips is $\sim 75\%$ during runs in April 2003. Thus this contribution will be taken to be $\approx 0.3 f_T$, part of which is thought to be α 's.

Another source of background has been termed ‘‘prompt’’ events, believed to be higher momentum charged particles, such as π 's and K 's. These particles could give large pulses in the strips. They are expected to arrive earlier than the elastically scattered carbon recoil particles, but the tail of these signals could still fall within the WFD time range. These tails could produce relatively large ADC values, but TDC ~ 0 . The algorithm to assign the time for the event would use TDC = 0 for any carbon recoil detected with pulse height less than about four times the ADC value from the prompt event at the time of the p + C elastic event. Events with TDC = 0 are rejected in the polarimeter logic, and the number of them is not presently recorded. It is estimated [3] that they correspond to between 1 and 10 times the number of events passing the banana cut, so the occupancy will be assumed to be $\approx 3 f_T$. This gives a total background occupancy of $f_B \approx 3.3 f_T = 0.03$.

With these estimates, the second order correction to ϵ_{lum} is

$$\delta\epsilon_{lum} \cong (f_T + f_B)\epsilon_B \sim 1.6 \times 10^{-3},$$

which is larger than the statistical uncertainty on ϵ_{lum} . Hence this rate effect may explain

the observed differences among $\epsilon_{lum,1-4}$, $\epsilon_{lum,2-5}$, and $\epsilon_{lum,3-6}$. Similarly, the corrections to the physics asymmetry are

$$\delta\epsilon_{phys} \cong (f_T + f_B) \epsilon_B \epsilon_{d\Omega} \sim 0.16 \times 10^{-3}$$

and

$$\delta\epsilon'_{phys} \cong P A f_T \sim 0.02 \times 10^{-3}.$$

The former is similar to the associated statistical uncertainties, but the latter is quite small. A third correction, $\delta\epsilon''_{phys} \cong P A_B f_B$, cannot be estimated since the analyzing power (A_B) is unknown.

VI. DISCUSSION

Sizable rate problems may arise for the RHIC CNI polarimeters because: 1) the true physics asymmetries are small, 2) the fraction of bunches passing through the target and producing either a good or a background event above threshold in a strip is appreciable, 3) multiple events per strip and bunch passage are not counted, and 4) details of the background event characteristics and the WFD algorithm preferentially reject good events coming “at the same time.” Thus, in some sense the polarimeters are not “deadtimeless”.

The estimates made in the previous section may not be very accurate because not all silicon strips contributed to the observed counts N_i for all runs. Thus, f_T , f_B , and $\epsilon_{d\Omega}$ could have been over- or underestimated. Furthermore, the strips are approximately parallel to the beam for the $\pm 45^\circ$ detectors, but are perpendicular for the detectors in the horizontal plane. This could have concentrated the rates in fewer strips in detectors 2 and 5, enhancing the rate problems.

It is recommended to:

- Estimate the occupancies, f_k and f_{Bn} , for each strip directly from the data. The impact on the physics and luminosity asymmetries should be evaluated using Eqs. (7) and (8). Especially important is the contribution from the prompt events.
- Test for spin dependence of background processes, since a substantial asymmetry could lead to systematic error in the physics asymmetry; see Eq. (13).

- Evaluate the magnitude of ϵ_A due to differences in silicon dead layer thicknesses and banana cuts. Assuming ϵ_A is less than 0.1 in magnitude, this would confirm that the term $P A \epsilon_A$ in Eq. (4) does not affect ϵ_{lum} significantly.
- Perform simulations to determine the fraction of events with two or more p + C elastic events at the same time that fail the banana cut, as this will increase the impact of these events on the physics and luminosity asymmetries. Similarly, evaluate the number of good events lost when a background and a p + C elastic event occur at the same time.

If one or more of the strips had combined occupancy of significantly greater than 1% ($f_T + f_B > 0.01$), an effort should be made to correct the data for future running. It is presently unclear how accurately this correction could be determined, but an increase in beam intensity or decrease in bunch transverse dimensions would increase f_T and f_B . Recall that when $f_T = 0.05$, the systematic error would be $\delta P/P = \pm 0.05$ without correction (see Eq. (11)), or the goal for accuracy of the RHIC polarimeters. Furthermore, the highest rates are likely to occur for the recoil carbons detected with the lowest 4-momentum transfer squared, $-t$, where the analyzing power is largest. Thus the effect on the derived beam polarization may be considerably larger than that expected from averages over all strips.

Finally, this sort of rate problem could conceivably cause systematic errors in other polarimeters or detectors. For example, it has been stated that the new AGS CNI polarimeter could achieve a polarization uncertainty of $\delta P = \pm 0.05$ in a single AGS fill with six bunches at the injection energy for RHIC. Assuming similar hardware and analyzing power ($A \sim 0.013$) as for the RHIC CNI polarimeters, then the number of events required would be

$$N \sim \frac{1}{(0.05 \cdot 0.013)^2} \sim 2.4 \times 10^6.$$

Dividing this by 2*6 strips for a left and right pair of detectors would give roughly 2×10^5 events per strip. For a 1 sec AGS flattop to perform the measurements and a 3 μ sec time to travel around the AGS, then the average occupancy would be

$$f_T \cong \left(\frac{2 \times 10^5 / \text{sec}}{\text{strip}} \right) \cdot \left(\frac{3 \times 10^{-6} \text{ sec}}{6 \text{ bunches}} \right) \cong 0.1$$

or a 10% relative systematic error unless corrected; see Eq. (11). The effect may be even larger if some strips have substantially greater rates than average, as occurs in the RHIC

polarimeters. In addition, the term $-(f_T + f_B) \epsilon_B \epsilon_{d\Omega}$ could become quite sizable, also leading to a systematic error.

VII. ACKNOWLEDGEMENTS

The assistance of the AGS and RHIC staff and physicists from many institutions working with the various polarimeters is gratefully acknowledged. This research was supported in part by the U.S. Department of Energy, Division of High Energy and Nuclear Physics, Contract No. W-31-109-ENG-38.

-
- [1] O. Jinnouchi *et al.*, AIP Conference Proceedings **675**, 817 (2003); O. Jinnouchi in “Proceedings of the RIKEN BNL Research Center Workshop”, BNL reports BNL-71117-2003 (2003), p 57, 141, 311, and BNL-71118-2003 (2003), p93, and BNL-71300-2003 (2003), p49.
 - [2] A. Bravar *et al.*, “Analysis of Polarimeter Data for the 2001-2002 RHIC Run”, BNL Collider Accelerator Department note C-A/AP/108 (2003).
 - [3] O. Jinnouchi, private communication.